Katrina L. Berishaj

Co-Chair, Fiduciary Governance

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Katrina Berishaj advises financial services clients, including banks, trust companies, broker-dealers, investment advisers, insurance companies and institutional investors, on issues arising under the fiduciary and prohibited transaction rules of the Employee Retirement Income Security Act (ERISA) and the Internal Revenue Code, with respect to financial products, services and transactions. She assists corporate and governmental retirement plan sponsors on a broad range of issues concerning their fiduciary and non-fiduciary responsibilities. Katrina also advises on qualified and nonqualified retirement plans and executive and equity compensation arrangements.

Prior to joining Stradley Ronon, Katrina served as associate general counsel at a leading diversified financial services company. She also worked at another multinational law firm, where she represented financial institutions and institutional investors.

RESULTS

Katrina's experience includes the representation of:

- **TradePMR**, a custodial and portfolio management platform for Registered Investment Advisors in an approximately $300 million acquisition by Robinhood Markets, Inc.
- **Wedderspoon Organic Group**, the largest seller of New Zealand-sourced and manufactured manuka honey products in North America, in its acquisition by New Zealand-based investment firm Masthead Ltd.
- **Wealth Legacy Institute**, a Denver-based financial planning and investment management firm, in its merger with Cerity Partners, a financial and wealth advisory firm headquartered in New York City
- **CenterSquare Investment Management**, a global real estate investment manager with an equity investment in Aligned Data Centers, one of the largest and fastest-growing private data center developers in the Americas
- **Clincierge**, a clinical trial concierge services provider, in an acquisition by Greenphire, an innovator of software solutions for clinical trials backed by one of the largest software investors in the world

FOCUS

Investment Management
Employee Benefits
Fiduciary Governance
Financial Services
Alternative Funds
Broker-Dealer

BAR ADMISSIONS

District of Columbia
Maryland

EDUCATION

J.D., Georgetown University Law Center
B.A., with high distinction, University of Michigan

MEMBERSHIPS

Leadership Council on Legal Diversity 2021 Class of Pathfinders

STRADLEY RONON

- **Pacific Life** in the sale of its third-party credit asset management firm, Pacific Asset Management, LLC, which at closing managed over $20 billion, to Aristotle Capital Management, LLC
- **Berkshire Asset Management** (BAM) in its sale of a minority stake to iM Global Partner, a leading global asset management network
- a boutique alternative investment firm focused exclusively on the closed-end fund market, with restructuring a master-feeder hedge fund to add provisions for ERISA investors

SPEAKING ENGAGEMENTS

- Presenter, "Best Practices for U.S. Public Pension Plan, Foundation and Endowment Clients," National Society of Compliance Professionals
- Presenter, "Investment Management Regulatory Update Seminar," Stradley Ronon
- Presenter, "Financial Services Regulatory Workshop," Stradley Ronon CLE

PUBLICATIONS

- Co-author, "Reducing Costs," *Employee Benefit Plan Review*
- Author, "Texas Court Halt U.S. Department of Labor's Fiduciary Rulemaking Package," *Employee Benefit Plan Review*
- Co-author, "Pension Derisking Options," *Employee Benefit Plan Review*
- Co-author, "Defined Contribution Retirement Plans: Chatting with Josh Anderson," *Employee Benefit Plan Review*
- Co-author, "Employee Stock Purchase Plans," *Employee Benefit Plan Review*
- Co-author, "What to Consider When Offering a Brokerage Window," *Employee Benefit Plan Review*
- Author, "Fiduciary Considerations: The Qualified Default Investment Alternative," Franklin Templeton
- Author, "Ensuring Governance Structures Supporting Retirement Plans Are Sound," *Employee Benefit Plan Review*
- Co-author, "Delegating Grantmaking Authority," *Employee Benefit Plan Review*
- Co-author, "Secure 2.0 Act: Auto-Portability," *Employee Benefit Plan Review*
- Author, "Family-Building Benefits," *Employee Benefit Plan Review*
- Author, "Reductions in Force: COBRA and Internal Revenue Considerations," *Employee Benefit Plan Review*

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