

Steven D. Feldman

Chair, White-Collar Defense, Internal Investigations & Corporate Compliance

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With more than 25 years of experience in high-stakes litigation, Steven concentrates his practice in the areas of securities litigation & enforcement, white-collar defense, investigations and compliance. He represents companies and individuals accused of securities and commodities law violations, public corruption, business crimes and fraudulent practices by U.S. Attorneys' Offices, States Attorneys General, District Attorneys' Offices, the Securities and Exchange Commission and the Commodity Futures Trading Commission.

Steven has extensive experience in matters arising under securities laws, public corruption investigations and prosecutions, art and cultural object-related crimes, and health care fraud cases, among others. In criminal and regulatory matters, Steven works with broker-dealers, stockbrokers, hedge funds, investment advisers and corporate executives.

Steven works with companies to conduct internal investigations and represents individual officers and executives in corporate investigations. He also served with the independent monitor overseeing a multinational manufacturing company's compliance with its obligations under a deferred prosecution agreement (DPA) with federal prosecutors.

Additionally, Steve served as a law clerk to U.S. District Chief Judge Thomas Griesa of the Southern District of New York.

RESULTS

- obtained complete dismissal and exoneration representing a surety industry executive charged with obstruction of justice by a U.S. Attorney's Office
- represented a financial services industry executive charged by the U.S. Attorney's Office, SDNY, with hacking into his former employer's computer system to steal confidential information, which resulted in the Government dropping its felony charge against the client
- represented a real estate executive indicted by the U.S. Attorney's Office, SDNY, for allegedly paying bribes to a state senator and money laundering, which resulted in the U.S. Attorney's Office dropping all charges against the client

FOCUS

White-Collar Defense, Internal Investigations & Corporate Compliance
Securities Litigation & Enforcement
Litigation
Health Care Litigation
Cryptocurrency & Blockchain
Fintech

BAR ADMISSIONS

New York
Pennsylvania

COURT ADMISSIONS

U.S. Court of Appeals for the Second Circuit
U.S. Court of Appeals for the Third Circuit
U.S. District Court for the Eastern District of New York
U.S. District Court for the District of Columbia
U.S. District Court for the Southern District of New York

EDUCATION

J.D., *magna cum laude*, Georgetown University Law Center, Order of the Coif
B.A., *cum laude*, Tufts University

MEMBERSHIPS

President, Tufts Lawyers Association
New York Council of Defense Lawyers
Board of Directors, Federal Bar Foundation
Federal Bar Council Inn of Court
Board of Advisors, Georgetown University Wall Street Alliance
New York Regional Engagement Council, Georgetown Law
Board of Trustees, Zeta Beta Tau Foundation

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- represented a client who, along with six others, was charged with insider trading in various stocks by the SEC; successfully convinced federal prosecutors not to add the client to the criminal case and resolved the SEC civil case by settling with the SEC
- represented a cryptocurrency-related company and its CEO in a federal criminal investigation into the conduct of notable cryptocurrency executives

SPEAKING ENGAGEMENTS

- Presenter, "Financial Services Broker-Dealer Workshop," Stradley Ronon
- Panelist, "Trust but Verify – Safeguard Against Internal Fraud," Baker Tilly and PNC Bank
- Moderator, "Should Companies Self-Disclose? New Government Initiatives Pressure Companies to Self-Disclose & Incentivize Whistleblowers to Report on Their Employers," Pennsylvania Association of Criminal Defense Lawyers White-Collar Practice Seminar
- Panelist, "Lightning Round: Test Your Knowledge of Evidence," Practising Law Institute
- Speaker, "First You Need to Spot It to Stop It! Managing Greenwashing Risks in the Financial Industry," European American Chamber of Commerce
- Presenter, "Federal Rules of Evidence," Practising Law Institute Trial Evidence CLE
- Panelist, "Challenging Issues for In-house Counsel & Compliance Professionals in Internal Investigations," Stradley Ronon CLE
- Speaker, "Digital Assets and Blockchain Roundtable," Stradley Ronon
- Panelist, "Remote Investigation: Inside and Out," Association of International Certified Professional Accountants & The Chartered Institute of Management Accountants Forensic & Valuation Services Conference

RECOGNITIONS

- Martindale-Hubbell AV Preeminent
- New York Super Lawyers

The logo for Stradley Ronon, featuring the name "STRADLEY" in a serif font above "RONON" in a larger serif font. A stylized "SR" monogram is positioned to the left of the "R" in "RONON".

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