

## Mark R. Greer

### Partner-in-Charge, Chicago

Chicago, IL

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Mark Greer concentrates his practice on representing registered investment companies, independent board members and registered investment advisers on a broad range of regulatory and compliance matters that arise under U.S. federal securities laws, particularly the Investment Company Act of 1940, the Investment Advisers Act of 1940, the Securities Act of 1933, the Commodity Exchange Act, and related rules and regulations. In particular, Mark represents clients in connection with:

- drafting and reviewing registration statements, proxy statements and other regulatory filings, as well as other legal documentation for the formation, organization and ongoing operations of registered investment companies and commodity pools
- preparing applications for rule changes to securities exchanges permitting listing of exchange-traded funds
- drafting exemptive orders seeking relief from certain federal securities laws
- representing investment companies in responding to regulatory issues raised by federal regulators
- advising independent board members of registered investment companies on various transactional, regulatory and compliance matters, including in their review of advisory and distribution contracts.

### SPEAKING ENGAGEMENTS

- Speaker, “Hot Regulatory Topics Facing the ETF Industry, Exchange Conference
- Panelist, “Considering Crypto: Tales from the Trenches,” Investment Company Institute’s Investment Management Conference
- Presenter, “Investment Company Developments,” Stradley Ronon’s Investment Management Group Regulatory Update CLE Seminar
- Presenter, “CFTC Developments,” Stradley Ronon’s Investment Management Group Regulatory Update CLE Seminar

### RECOGNITIONS

- *The Legal 500 US*, recommended for mutual/registered/exchange-traded funds (2024)
- *Crain’s Chicago Business*, Notable Rising Star in Law

### FOCUS

Investment Management  
Derivatives & Commodities  
Exchange-Traded Funds (ETFs)  
Alternative Funds  
Independent Trustee Counsel  
Investment Advisers  
Money Market Funds  
Registered Investment Companies

### BAR ADMISSIONS

Illinois

### EDUCATION

J.D., *cum laude*, Northwestern University  
Pritzker School of Law, Order of the Coif  
M.S., Northwestern University  
B.S., *summa cum laude*, Northwestern University

### MEMBERSHIPS

Board of Directors, The Legal Council for Health Justice  
Stradley Ronon Diversity Committee

