

# Jocelyn Near

## Associate

Washington, D.C.

202.507.5160

[jnear@stradley.com](mailto:jnear@stradley.com)



Jocelyn Near counsels investment companies, independent trustees and investment advisers on regulatory, compliance and transactional matters under federal and state securities laws. She regularly advises on the formation, registration and ongoing compliance of open-end investment companies — including exchange-traded funds (ETFs), money market funds and multi-manager funds — by preparing various regulatory filings, including registration statements. Jocelyn also advises investment company independent trustees on corporate governance and regulatory matters.

Jocelyn was previously an investment management law clerk and legal administrative assistant at Stradley Ronon. During law school, she was a Securities Law Program Certificate recipient. Jocelyn was also a member of the *Catholic University Law Review* and the Securities Law Student Association and served as a Dean's Academic Fellow, mentoring fellow students on the critical skills needed to excel in law school.

### PUBLICATIONS

- Author, "A Look Back in Time: Analyzing the Success and Value of the 2014 Amendments to Rule 2a-7 and Reporting on Form N-CR in Light of the March 2020 Market Events," *Catholic University Law Review*

### RECOGNITIONS

- John L. Garvey Faculty Award, The Catholic University of America Columbus School of Law (2024)

### FOCUS

Investment Management  
Investment Advisers  
Exchange-Traded Funds (ETFs)  
Independent Trustee Counsel  
Registered Investment Companies

### BAR ADMISSIONS

District of Columbia

### EDUCATION

J.D., *summa cum laude*, The Catholic University of America Columbus School of Law

B.A., *magna cum laude*, Siena Heights University