

# Amy E. Sparrow

## Partner

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Amy Sparrow represents clients in the financial services industry in litigation, internal investigations, regulatory actions and employment-related disputes. She defends clients in regulatory actions brought by the U.S. Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), state securities regulators, and other federal and state regulators. She also practices in federal and state courts and before arbitration panels in various litigation actions in financial industry matters. Additionally, she provides compliance counseling and assists clients in drafting and revising policies and procedures to comply with securities laws, rules, and regulations.

Amy is a member of the firm's E-Discovery Team, which develops cost-effective strategies for electronic document management and discovery.

Before joining Stradley Ronon, she clerked for the Hon. D. Michael Fisher, Judge for the U.S. Court of Appeals for the Third Circuit. While attending law school, Amy interned for the Hon. Timothy J. Savage, Judge for the U.S. District Court for the Eastern District of Pennsylvania and served as a research editor for the Temple Law Review.

## SPEAKING ENGAGEMENTS

- Presenter, "Financial Services Regulatory Workshop," Stradley Ronon CLE

## RECOGNITION

- *Pennsylvania Super Lawyers*, Rising Star

## FOCUS

Securities Litigation & Enforcement  
Employment & Labor  
E-Discovery Team  
Litigation  
Broker-Dealer

## BAR ADMISSIONS

Pennsylvania

## COURTS

Pennsylvania Supreme Court  
U.S. Court of Appeals for the Third Circuit  
U.S. District Court for the Eastern District of Pennsylvania

## EDUCATION

J.D., *magna cum laude*, Temple University Beasley School of Law  
B.S., *magna cum laude*, The College of New Jersey

