

David Stephens

Partner

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David Stephens has spent more than three decades advising clients on a wide range of securities law issues under the Investment Company Act of 1940 and the Investment Advisers Act of 1940. He is nationally recognized for his representation of all types of funds, including open-end, closed-end and interval funds; exchange-traded funds (ETFs); and manager-of-managers funds, as well as their board members and investment advisers.

RECOGNITIONS

- *Chambers Global* (USA: Investment Funds: Registered Funds) (2020-25)
- *Chambers USA* (Nationwide: Investment Funds: Registered Funds) (2017-21, 2023-24)
- *The Legal 500 United States* (Investment Fund Formation and Management: Mutual/Registered/Exchange-Traded Funds) (2018-24)
- *Best Lawyers in America* (2018-25)
- *New York Super Lawyers*

FOCUS

Investment Management
Registered Investment Companies
Independent Trustee Counsel
Exchange-Traded Funds (ETFs)
Private Investment Funds

BAR ADMISSIONS

New York

EDUCATION

J.D., University of Pittsburgh School of Law

B.S.F.S., Georgetown University

MEMBERSHIPS

Investment Management Regulation Committee, the New York City Bar Association